

## Lecture 11

*Lecturer: Andre Wibisono**Scribe: Alden Tan Ming Yang*

## 1 Overview

This lecture introduces dynamic programming through the example of Fibonacci numbers, and discusses Directed Acyclic Graphs and topological sort. Specifically, these lecture notes cover:

- Introduction to Dynamic Programming
- Fibonacci Numbers
- Directed Acyclic Graphs

## 2 Introduction to Dynamic Programming

### 2.1 Overview

To solve problems of size  $n$ :

- Decompose the problem into subproblems of smaller size.
- Solve subproblems in some order: eg. from smallest to largest.

### 2.2 Dynamic Programming Recipe

More specifically:

- Figure out what the subproblems are. Usually, we maintain the solutions to subproblems in an array or table with entries  $T(i)$  or  $T(i, j)$ , etc. Then, identify what your solution is in terms of the table entries (e.g.  $T(n)$ ).
- Find a recurrence relation between subproblems.
- Compute solutions from smallest to largest (to avoid repetition).

### 3 Fibonacci Numbers

Let  $F(0) = 0$ ,  $F(1) = 1$ ,  $F(n) = F(n - 1) + F(n - 2) \forall n \geq 2$ .

This counts the number of ways to tile a  $1 \times n$  board by  $1 \times 1$  and  $1 \times 2$  tiles. To see this, notice that when deciding on the first tile, you can either use a  $1 \times 1$  or  $1 \times 2$  tile. If you use a  $1 \times 1$  tile, the remaining board length is  $n - 1$ . If you use a  $1 \times 2$  tile, the remaining board length is  $n - 2$ . Therefore, the number of possible tilings of a  $1 \times n$  board =  $T(n) = T(n - 1) + T(n - 2)$ .

The exact solution to the Fibonacci recurrence is

$$F(n) = \frac{\phi^n - (-\phi)^{-n}}{\sqrt{5}}$$

where  $\phi = \frac{1+\sqrt{5}}{2} \approx 1.618$  is the golden ratio, and  $-\frac{1}{\phi} = 1 - \phi \approx -0.618$ . Thus,  $F(n) \approx \frac{\phi^n}{\sqrt{5}} \approx 1.618^n$  grows exponentially.

#### 3.1 Recursive Implementation

A naive recursive implementation of the Fibonacci function takes exponential time.

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**Algorithm 1** Recursive Fibonacci

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```
1: function FIB( $n$ )
2:   if  $n = 0$  or  $1$  then
3:     return  $n$ 
4:   end if
5:   FIB( $n - 1$ ) + FIB( $n - 2$ )
6: end function
```

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The running time  $T(n)$  of this algorithm satisfies the recursion

$$T(n) = T(n - 1) + T(n - 2)$$

This algorithm is wasteful as it repeats many computations.

#### 3.2 Recursive with Memoization

As an optimization, let's remember answers to previous computations, which we call memoization. Here, the term "Memoize" refers to "writing a memo."

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**Algorithm 2** Recursive Fibonacci with Memoization

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```
1: function FIBMEMO( $n$ )
2:   if  $n = 0$  or  $1$  then
3:     return  $n$ 
4:   end if
5:   if  $n$  is in Memo then
6:     return Memo[ $n$ ]
7:   end if
8:   Memo[ $n$ ] = FIBMEMO( $n - 1$ ) + FIBMEMO( $n - 2$ )
9:   return Memo[ $n$ ]
10: end function
```

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Here, we store answers in a dictionary Memo, so that subsequent queries take  $O(1)$ . The number of recursive calls made is  $O(n)$ , as each subproblem  $\text{Fib}(n-1), \dots, \text{Fib}(0)$  is only computed once. Therefore, the running time is linear:  $T(n) = O(n)$ .

### 3.3 Fibonacci Dependencies Graph

To draw a directed graph of dependencies of subproblems:

- Nodes are subproblems:  $v_0 = \text{Fib}(0)$ ,  $v_1 = \text{Fib}(1)$ , ...,  $v_n = \text{Fib}(n)$ .
- Put a directed edge  $e_{i \rightarrow j} = (v_i, v_j)$  if problem  $v_i$  is required to solve problem  $v_j$ .
- The graph should be acyclic: does not have a (directed) cycle. If it has a cycle, then the problem cannot be solved.
- For the Fibonacci example, edges are  $(v_i, v_{i+1}), (v_i, v_{i+2}) \forall 0 \leq i \leq n$  because we have the recursion  $\text{Fib}(i+2) = \text{Fib}(i+1) + \text{Fib}(i)$ .
- Reorder computation from smallest to largest (bottom-up).

### 3.4 Fibonacci: Bottom-up approach

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**Algorithm 3** Bottom-up Fibonacci

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```
1: function FIB2( $n$ )
2:    $F[0] = 0$ 
3:    $F[1] = 1$ 
4:   for  $i$  from 2 to  $n$  do
5:      $F[i] = F[i - 1] + F[i - 2]$ 
6:   end for
7:   return  $F[n]$ 
8: end function
```

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This computes the same answers as the recursive with memoization approach, but the computation now proceeds from the smallest to largest. Since we are filling in an array  $F$  of size  $n + 1 = O(n)$ , and each entry takes  $O(1)$  time to compute, the running time  $T(n) = O(n) \times O(1) = O(n)$  is linear.

### 3.5 Dependencies Graph

We want the dependencies graph to be a Directed Acyclic Graph (DAG).

- We can always linearize (topologically sort) a DAG. I.e., put an ordering on the vertices  $1, \dots, n$  such that all edges  $e = (i, j)$  go from smaller to larger vertices:  $i < j$ .
- Then, we solve problems from smallest to largest.

## 4 Directed Acyclic Graphs (DAG)

### 4.1 Directed Graph

- Let  $G = (V, E)$  be a directed graph on vertex set  $V$  with edge set  $E$ .
- Each edge  $e = (i, j)$  is directed from  $i \in V$  to  $j \in V$ .
  - Not necessary symmetric:  $(i, j) \in E$  does not imply  $(j, i) \in E$ .
  - Undirected graph is equivalent to a symmetric directed graph:  $(i, j) \in E \Leftrightarrow (j, i) \in E$ .

### 4.2 Directed Acyclic Graph

Let  $G = (V, E)$  be a directed graph on vertex set  $V$  with edge set  $E$ .

- $G$  is acyclic if it has no (directed) cycle.

- In this case, we say  $G$  is a DAG.
- How to check if a directed graph  $G$  is a DAG? Ans: Use DFS and check if there is no back edge.
- Lemma:  $G$  is a DAG  $\Leftrightarrow$  there is no back edge in DFS search tree.

### 4.3 Topological Sort

Let  $G = (V, E)$  be a DAG.

- A topological ordering is a labelling  $V = \{1, \dots, n\}$  such that each edge  $e = (i, j) \in E$  goes from smaller to larger indices:  $i < j$ .
- Theorem:  $G$  is a DAG if and only if it has a topological ordering.
- Note: There can be multiple topological orderings.

**Figure 6.1** A dag and its linearization (topological ordering).

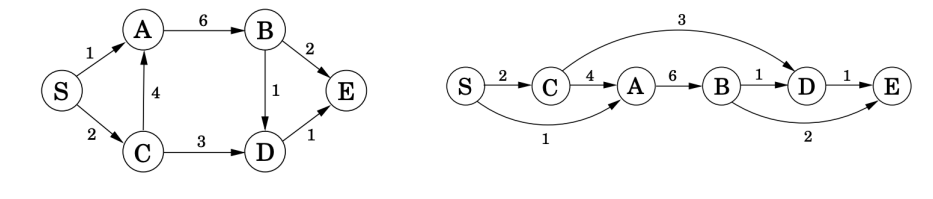


Figure 1: Topological ordering example 1 from lecture

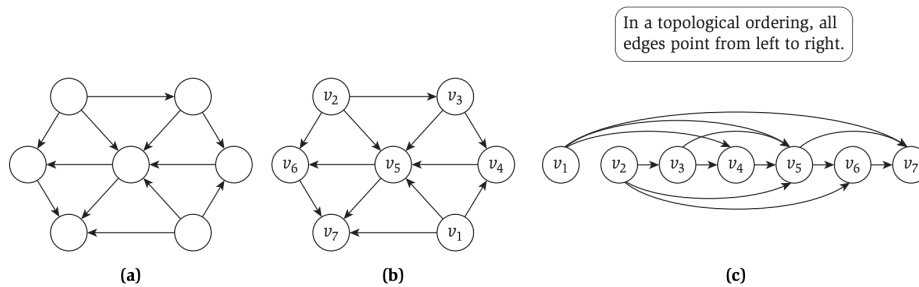


Figure 2: Topological ordering example 2 from lecture

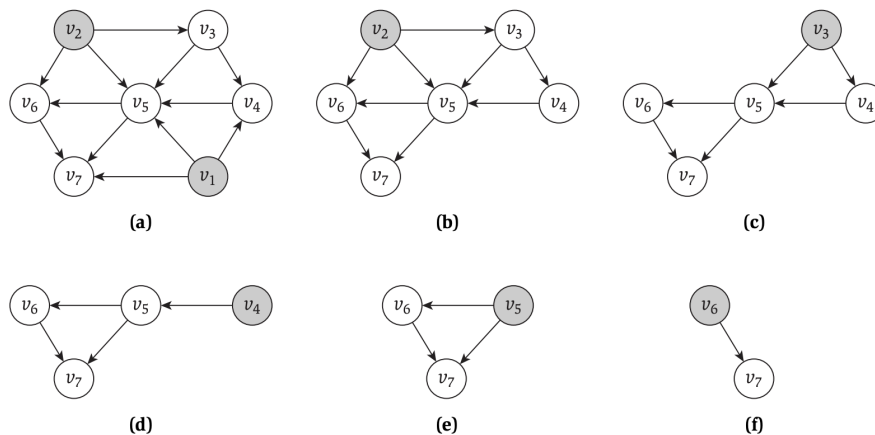
## 4.4 Finding topological orderings

### 4.4.1 Approach 1: Depth-First Search

- Explore  $G$  using DFS.
- Keep track of time  $t(v)$  when you have finished exploring  $v$  and its descendants.
- Order the vertices from the largest  $t(v)$  to smallest  $t(v)$ .
- Lemma: If  $G$  is a DAG, then the above produces a topological ordering. That is, each edge  $e = (v_i, v_j) \in E$  has  $t(v_i) > t(v_j)$ .
- Thus, we can find topological ordering in linear time.

### 4.4.2 Approach 2: Greedy method

- Lemma: If  $G$  is a DAG, then there is a vertex  $s \in V$  with no incoming edge.
- To find a topological ordering on  $G$ :
  - Find a node  $v$  with no incoming edges, and order it first.
  - Delete  $v$  from  $G$ .
  - Recursively compute a topological ordering of  $G - \{v\}$  and append this order after  $v$ .



**Figure 3.8** Starting from the graph in Figure 3.7, nodes are deleted one by one so as to be added to a topological ordering. The shaded nodes are those with no incoming edges; note that there is always at least one such edge at every stage of the algorithm's execution.

Figure 3: Greedy method of finding topological ordering